


**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

08/04/03  
  
**BLIFF & BERRIDGE, PLC**  
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Attorney Docket No.: 116772

Date: August 4, 2003

**MAIL STOP PATENT APPLICATION**

**CONTINUING APPLICATION TRANSMITTAL  
 RULE 1.53(b)**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

☒ Continuation      ☐ Divisional      ☐ Continuation-in-Part

application of prior pending Application No. PCT/JP02/01104, filed February 8, 2002.

For (Title):                      FUNCTIONAL PARTICLE AND PREPARING/PLASMA-TREATING METHOD OF THE SAME

By (Inventors):                Shin-ichi NAKAO, Takeo YAMAGUCHI, Liang-Yin CHU, Sang-Hoon PARK

1.    ☒ A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:
- ☐ a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
- ☒ b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatted or edited version of the prior application that does not contain new matter, or (c) a new specification.)
2.    ☒ The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED BELOW**

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	22 - 20	= *2
INDEP CLAIMS	4 - 3	= *1
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$ 375	OR		\$
x 9 =	\$18	OR	x 18	\$
x 42 =	\$42	OR	x 84	\$
+140 =	\$	OR	+280	\$
TOTAL	\$435	OR	TOTAL	\$

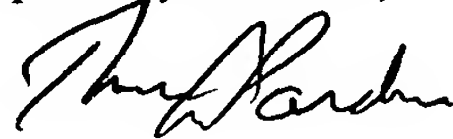
3.    ☒ Check No. 144908 in the amount of \$435 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.
4.    ☒ Formal drawings (Figs. 1A-16) are attached.
- ☐ Use Figure                for front page of Publication.

DEPOSIT ACCOUNT USE  
 AUTHORIZATION  
 Please grant any extension  
 necessary for entry;  
 Charge any fee due to our  
 Deposit Account No. 15-0461

5. ☒ Priority of foreign application No. 2001-033407 filed February 9, 2001 in Japan is claimed under 35 U.S.C. §119 and/or §365(b).
- ☐ The certified copy was filed in prior Application No. \_\_\_\_\_ on \_\_\_\_\_.  
☐ A certified copy of the above foreign application(s) is filed herewith.
6. ☐ Priority of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_ is claimed under 35 U.S.C. §119.  
(A Preliminary Amendment is attached to reflect the claim of benefit in the specification if not already present.)
7. ☐ The prior application is assigned of record to \_\_\_\_\_ recorded at Reel \_\_\_\_\_, Frame \_\_\_\_\_.
8. ☐ This application is filed by fewer than all the inventors named in the prior application (37 C.F.R §1.53(b)(1)). Delete the following inventor(s) named in the prior application:  
\_\_\_\_\_  
\_\_\_\_\_
9. ☒ A Preliminary Amendment is attached. The Preliminary Amendment amends the specification to reflect the continuation data. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered claim in the application and claims canceled are identified in the listing of claims.
10. ☒ An Information Disclosure Statement is attached.
11. ☒ Small entity status:  
☒ a. Entitlement to small entity status is asserted.  
☐ b. Small entity status is no longer claimed.
12. ☐ Other: \_\_\_\_\_
13. ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
14. ☒ The power of attorney in the application is to James A. Oliff, Registration No. 27,075, William P. Berridge, Registration No. 30,024, Kirk M. Hudson, Registration No. 27,562, Thomas J. Pardini, Registration No. 30,411, Edward P. Walker, Registration No. 31,450, Robert A. Miller, Registration No. 32,771, Mario A. Costantino, Registration No. 33,565, Stephen J. Roe, Registration No. 34,463, Joel S. Armstrong, Registration No. 36,430, Christopher W. Brown, Registration No. 38,025, Richard E. Rice, Registration No. 31,560, Paul Tsou, Registration No. 37,956, and/or Eric D. Morehouse, Registration No. 38,565.  
☒ a. The power appears in the attached Declaration and Power of Attorney.  
☐ b. Since the power does not appear in the attached Declaration and Power of Attorney, a substitute Power of Attorney is also attached.
15. ☒ Address all future communications to:

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**P.O. Box 19928**  
**Alexandria, Virginia 22320**

Respectfully submitted,



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JAO:TJP/rew